SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

ours per response:	0.5

1. Name and Address of Reporting Person [*] Fischer Meredith B	2. Issuer Name and Ticker or Trading Symbol <u>Mallinckrodt plc</u> [MNK]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner							
(Last) (First) (Middle) 675 MCDONNELL BLVD.	3. Date of Earliest Transaction (Month/Day/Year) 01/02/2017	X below) below) Chief Public Affairs Officer							
(Street)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)							
HAZELWOOD MO 63042		X Form filed by One Reporting Person							
(City) (State) (Zip)		Form filed by More than One Reporting Person							
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		Code V Amount (A) or (D) Price		Price	Transaction(s) (Instr. 3 and 4)		(1150.4)			
Ordinary Shares	01/02/2017		A		4,620 ⁽¹⁾	A	\$ <mark>0</mark>	22,493 ⁽²⁾	D	
Ordinary Shares	01/02/2017		F		1,669	D	\$49.82	20,824	D	
Ordinary Shares	01/03/2017		A		4,833 ⁽³⁾	A	\$0	25,657	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Share Options (Right to Buy)	\$51.73	01/03/2017		A		27,151		01/03/2018 ⁽⁴⁾	01/03/2027	Ordinary Shares	27,151	\$0	27,151	D	

Explanation of Responses:

1. Vesting of performance share award granted on January 2, 2014.

2. Includes 261 shares acquired on September 30, 2016 under the Mallinckrodt employee stock purchase plan.

3. Represents restricted stock units that vest in four equal annual installments beginning January 3, 2018.

4. The options vest in four equal annual installments beginning January 3, 2018.

Remarks:

/s/ Kenneth L. Wagner,

<u>Attorney-in-Fact</u>

** Signature of Reporting Person

n Date

01/04/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.