| SEC | Form | 4 |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

| hours per response:      | 0.5 |
|--------------------------|-----|
| Estimated average burden |     |

| 1. Name and Address of Reporting Person*<br>Scholz Frank |                     |                               | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Mallinckrodt plc</u> [ MNK ] |                        | tionship of Reporting Person<br>all applicable)<br>Director                                      | n(s) to Issuer<br>10% Owner            |
|--|---------------------|-------------------------------|---|------------------------|--|--|
| (Last)<br>675 MCDONNE                                    | (First)<br>LL BLVD. | (Middle)                      | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/02/2017                        | X                      | Officer (give title<br>below)<br>EVP Glob Ops & Pres   | Other (specify<br>below)<br>s Spec Gen |
| (Street)<br>HAZELWOOD                                    | МО                  | 63042                         | 4. If Amendment, Date of Original Filed (Month/Day/Year)                              | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing (<br>Form filed by One Report<br>Form filed by More than 0<br>Person | ing Person                             |
| (City)   | (State)             | (Zip)<br>Table I - Non-Deriva | ative Securities Acquired, Disposed of, or Benefi                                     | cially (               | Dwned  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   |   | 4. Securities A<br>Disposed Of ( |               |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|---|---|----------------------------------|---------------|-------------------|---|---|---|
|                                 |  |   |   | v | Amount                           | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4)  |   |   |
| Ordinary Shares                 | 01/02/2017                                 |   | F |   | 215                              | D             | \$49.82           | 29,423 <sup>(1)</sup>   | D   |   |
| Ordinary Shares                 | 01/03/2017                                 |   | Α |   | 9,666 <sup>(2)</sup>             | Α             | \$ <mark>0</mark> | 39,089  | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Expirat |     | Expiration Dat            | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |     | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|------------|-----|---------------------------|--|--------------------|--|-----|--|--|--|
|   |   |  |   | Code                         | v | (A)        | (D) | Date<br>Exercisable       | Expiration<br>Date   | Title              | Amount<br>or<br>Number<br>of<br>Shares   |     |  |  |  |
| Employee<br>Share<br>Options<br>(Right to<br>Buy)   | \$51.73   | 01/03/2017                                 |   | A                            |   | 54,301     |     | 01/03/2018 <sup>(3)</sup> | 01/03/2027   | Ordinary<br>Shares | 54,301   | \$0 | 54,301   | D  |  |
| Employee<br>Share<br>Options<br>(Right to<br>Buy)   | \$51.73   | 01/03/2017                                 |   | A                            |   | 54,301     |     | 01/03/2021 <sup>(4)</sup> | 01/03/2027   | Ordinary<br>Shares | 54,301   | \$0 | 54,301   | D  |  |

**Explanation of Responses:** 

1. Includes 763 shares acquired between April 6, 2016 and September 30, 2016 under the Mallinckrodt employee stock purchase plan.

2. Represents restricted stock units that vest in four equal annual installments beginning January 3, 2018.

3. The options vest in four equal annual installments beginning January 3, 2018.

4. The options vest in their entirety on January 3, 2021.

**Remarks:** 

/s/ Kenneth L. Wagner,

Attorney-in-Fact

01/04/2017

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.