SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
Estimated average	burden
hours per response	. 0.5

obligations may Instruction 1(b).		Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940			hours per resp	0	0.
1. Name and Addre	ss of Reporting Pers ANJ	on*	2. Issuer Name and Ticker or Trading Symbol <u>Mallinckrodt plc</u> [MNK]	(Check	tionship of F all applicab Director Officer (giv	,	n(s) to Issue 10% Owner Other (spec	r
(Last) 675 MCDONNI	(First) ELL BLVD.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/01/2020	Х	below)	nd Chief HR	below)	
(Street) HAZELWOOD	МО	63042	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed	t/Group Filing (by One Report by More than 0	ing Person	
(City)	(State)	(Zip)			Person	,	·	0

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transa Code (8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Code V Am		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		
Ordinary Shares	04/01/2020		F		869	D	\$1.93	53,987	D	
Ordinary Shares	04/02/2020		F		1,124	D	\$1.8	52,863	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			(371	,	,			• •				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D)	Derivative (Month/Day/Year) Securities A) or Disposed of (D) Instr. 3, 4		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code V (A) (D	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares								

Explanation of Responses:

Remarks:

<u>/s/ Stephanie D. Miller,</u> <u>Attorney-in-Fact</u>

04/03/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.